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TRI-STATE WEEKLY GRAND RULES AND REGULATIONS

RULE NO. 1

DEFINITIONS

- a. "Agent" or "Retailer" means the licensed lottery agent, authorized Lottery sales agent, or licensed sales agent, who is authorized to sell player selection game plays.
- b. "Board" means that area of the bet slip which contains the designated number of squares.
- c. "Commission" means the Tri-State Lotto Commission.
- d. "Director" means the administrative head in charge of day-to-day operations of any party state's lottery commission.
- e. "Top Prize Pool" means the jackpot top prize for each drawing.
- f. "Drawing" means that process which is used to select randomly four (4) winning numbers between one (01) through thirty-five (35) and one randomly selected winning number between one (01) and thirty-five (35).
- g. "Easy Pick" means a computer-generated random play to be used as a player's bet.
- h. "Game Ticket" or "Ticket" means an authorized slip of paper produced by an authorized on-line terminal acknowledging the recording of a legal transaction between the lottery and the recipient for a certain date.
- i. "Party states," "party lottery," or "lottery" means the lotteries of the states of New Hampshire, Vermont and Maine. It may also include other states in the event of expansion of the Tri-State Lotto Compact.
- j. "Person" means an individual, partnership, association, organization, club, company, corporation, trust, estate, society, joint stock company, receiver,

- trustee, assignee, referee, or any other person acting in a fiduciary or representative capacity, whether appointed by a court or otherwise, and any combination of individuals. "Person" shall also mean agencies and instrumentalities of the state, counties, cities, towns and villages.
- k. "Play," "game Play" or "Bet" means the players' selection or a computer pick of the four (4) numbers between one (01) through thirty-five (35) and one number between one (01) and thirty-five (35). which appear on a ticket as a single lettered selection for a specific draw (s).
 - l. "Bet slip" means an authorized slip of paper preprinted with numbered and play style blocks, completed by the player to designate the numbers and play style to be entered into a drawing. A "bet slip" is not evidence of play.
 - m. "Terminal" means a device which is authorized by the Lottery to function in an on-line, interactive mode with the central computer system, for the purpose of issuing lottery tickets and entering, receiving and processing lottery transactions, including purchases, voiding purchases, validating tickets and transmitting reports.
 - n. "Tri-State Weekly Grand" means an on-line terminal game offered for sale by the commission.
 - o. "Winning Numbers" means the four (4) two-digit numbers between one (01) and thirty-five (35) and the one (1) two digit number between one (01) and thirty-five (35) randomly selected from two separate sets of numbers at each official drawing to determine winning plays contained on a game ticket for a specific date.
 - p. "Top Prize Winner" means a game play that identically matches the winning numbers.

RULE NO. 2

TICKET PURCHASE, CHARACTERISTICS AND RESTRICTIONS

- a. A valid Tri-State Weekly Grand ticket shall contain all of the following:
 - 1. The logo or caption "Tri-State Weekly Grand"
 - 2. The drawing date(s)
 - 3. The total ticket price/number of draws
 - 4. The applicable validation number that corresponds to the party lottery's computer data files
 - 5. A terminal printed validation bar code
 - 6. A vendor security-issued number
 - 7. A ticket stock sequential number
 - 8. The player's bet(s) each consisting of five (5) two digit numbers
 - 9. Retailer number
 - 10. Terminal number
 - 11. Time stamp/purchase price
 - 12. Four text lines available for lottery messages
- b. A ticket is subject to the validation requirements of Rule 9 and a play shall be void unless the bet evidenced by the ticket reaches, is accepted by, and is recorded by the central computer.
- c. A bet slip has no pecuniary or prize value and shall not constitute evidence of ticket purchase or numbers selected.
- d. A play is void unless each of the five printed numbers on the ticket has two digits. For example, "03" is a valid number whereas "3" is not.

- e. A ticket may be canceled under the conditions established by the commission in Tri-State Policy No. 9.
- f. If the Tri-State Lotto Commission determines a ticket is not eligible to receive a prize, the player's sole remedy shall be to apply to the commission for a refund of the price allegedly wagered, and whether that price is refunded lies solely within the discretion of the commission.
- g. It shall be the sole responsibility of the player to verify the accuracy of the game plays, amount wagered and draw day(s) prior to paying for the ticket. In the event of an error prior to the player paying for the ticket, the player's sole remedy shall be not to accept the ticket. If the player recognizes an error after paying for the ticket, the player is the owner of the ticket. The commission shall not be responsible for tickets printed in error.

RULE NO. 3

DESIGN OF THE GAME

- a. The commission shall determine the amount of numbers which constitute the field of numbers from which a player may select his/her Tri-State Weekly Grand numbers. The commission may also change the amount of numbers in that field as well as the amount of numbers to be selected from it.
- b. Tri-State Weekly Grand tickets may be offered for sale at a price which shall have been determined by the commission.
- c. The commission may determine, in its sole discretion, to change the design of the game, frequency of play, and the termination date of the game. If the commission elects to terminate the Tri-State Weekly Grand game, the commission shall:
 - 1. Publicly announce the final draw date;
 - 2. Determine the method for distribution of the final Tri-State Weekly Grand jackpot prize pool;
 - 3. Determine the method for distribution of all Tri-State Weekly Grand prize contingency accounts;

RULE NO. 4

DETERMINATION OF PRIZE WINNERS

- a. Prizes shall be determined and awarded per \$2 bet on the following basis:

Match 4 numbers plus the extra number to win a share of \$1,000 a week for 20 years.

Match 4 numbers of the 4 numbers to win \$5,000

Match 3 numbers of the 4 numbers plus the extra number to win \$500

Match 3 numbers of the 4 numbers to win \$25

Match 2 numbers of the 4 numbers plus the extra number to win \$20

Match 2 numbers of the 4 numbers to win \$2

Match 1 number of the 4 numbers plus the extra number to win \$10

Match 0 numbers of the 4 numbers plus the extra number to win \$5

Instant Win: \$5

Odds of winning the top prize: 1:1,832,600

Overall odds of winning any prize: 1:8.8

- b. Only the highest prize won per game play will be paid.
- c. The formula for determining the top prize and shares of the top prize pool shall be as follows:

Top Prize Pool = \$600,000 cash or \$1,040,000 annuity (see rule 4f)

Low Tier Prize Pool (LTPP) = P * 66.8%

Agent Bonus (AB) = 1% of the annuitized value of any single winning jackpot ticket, or \$10,400.

Prize Pool (P) = 50% of Gross Sales for drawing involved.

- d. A "share" of the top prize is determined by dividing the Top Prize Pool by the number of Top Prize Winners. Unclaimed shares, after one year, revert to the Unclaimed Prize Pool. Holders of each "share" may elect to receive their payment(s) per rule 4(f).
- e. The excess value of all low tier prizes for a drawing that is higher or less than the expected Low Tier Prize Pool shall be added/deducted from the Weekly Grand Low Tier Contingency (WGLTC) Account. At the end of a fiscal year the Commission shall determine the method to zero balance any shortage in the WGLTC which may include the transfer of available funds from the Prize Reserve Account and/or the expired unclaimed prizes. If the WGLTC account has a positive balance at the end of a fiscal year, the Commission shall determine whether or not to disburse the excess balance and the method of disbursement.
- f. Tri-State Weekly Grand Jackpot winners may select one of the following payment options:
 1. To receive their share of the jackpot prize in 240 consecutive monthly payments minus state and federal taxes.
 2. To receive their share of the jackpot prize paid out in 20 consecutive annual payments minus state and federal taxes
 3. To receive their share of a \$600,000 one time cash option minus state and federal taxes.

RULE NO. 5

PRIZE STRUCTURE

- a. The prize structure of Tri-State Weekly Grand shall not exceed fifty (50) percent of the total amount for which tickets have been sold and shall consist of a prize pool including:
 1. Prizes paid or committed to winners.
 2. Prizes which are unclaimed for a period of 365 days (see Rule 5 (e)) after the drawing date in which the prize was won and voted by the commission to be included in a designated drawing or promotion.
 3. Agent bonuses will be 1% of the annuitized value of any single winning jackpot ticket or \$10,400 per winning ticket and 1% of the 2nd tier \$5,000 prize or \$50. Whenever a winning Weekly Grand ticket is sold in a New Hampshire State Liquor Store, the agent bonus will be paid to the Liquor Commission employees of that store in a manner decided and managed by the Liquor Commission.
 4. Special prizes or bonuses as specified by the Commission to maintain public interest in the game.

- b. The commission shall determine the distribution of the prize pool and shall announce the prize structure in appropriate directives.
- c. In the case of an unclaimed jackpot ticket, upon the expiration of 365 days (see Rule 5(e)) after the drawing date in which the prize was won, the prize shall revert to the expired unclaimed prize pool.
- d. At least once a year, the commission shall be required, no later than September 14, to make a decision as to the disbursement of expired unclaimed prize money.
- e. All prizes must be claimed as directed within 365 calendar days of the drawing in which the prize was won. For purposes of determining the claim period, the first (draw) day shall be excluded, and the last day included. In the event the last day falls on a Saturday, a Sunday, or a legal holiday, the time to claim shall be extended to close of business on the next day thereafter when Lottery offices are open for business. Any prize not claimed within the specified period shall be forfeited.

RULE NO. 6

DRAWINGS

- a. Drawings shall be conducted in such manner, with such frequency, and at such times and places as the commission may determine, and shall be announced to the public.

RULE NO. 7

PRICE

- a. Game plays shall sell for the amount determined by the commission, who shall make a public announcement of the same. Game plays and tickets may be purchased only through licensed agents.

RULE NO. 8

TICKET RESPONSIBILITY

- a. Until such time as a signature is placed upon the back portion of a ticket in the area designated therefore, a ticket shall be owned by the physical possessor thereof. When a signature is placed on the back of said ticket in the place designated therefore, the person whose signature appears in such area shall be the owner of said ticket and shall be entitled (subject to the validation requirements of Rule 9) to any prize attributable thereto.
- b. The lottery shall not be responsible for lost, damaged or stolen tickets prior to validation.

RULE NO. 9

TICKET VALIDATION REQUIREMENTS

- a. To be a valid ticket and eligible to receive a prize, all the following requirements must be satisfied:

1. The ticket must have been issued by the party lottery through a licensed agent, via a terminal, in an authorized manner. The ticket must be intact and must not be mutilated, altered, reconstructed, or tampered with in any manner.
 2. The ticket date must have been recorded in the central computer system at least five (5) minutes prior to the drawing; even if it appears that a bet is accepted (by virtue of a printed ticket), any bet placed other than at least five (5) minutes prior to the drawing (regardless of when the drawing is held) is void.
 3. The information appearing on the ticket must correspond precisely with the party lottery's computer record.
 4. The applicable validation number must appear in its entirety, and correspond, using a computer validation file, to the winning game play or plays printed on the ticket. The ticket stock sequential number on the back of the ticket must correspond to the party lottery's inventory records.
 5. The ticket must not be defectively printed, fuzzy, produced in error, counterfeit in whole or in part, altered, unreadable, reconstructed, tampered with in any manner, stolen, blank or partially blank, misregistered, or defective.
 6. All information appearing on an apparent winning ticket must correspond to the party lottery's records of winning tickets, and another ticket with identical data shall not have been paid.
 7. The ticket must be intact, fully legible, complete and not miscut, correspond to the art work on file at the party lottery in every respect.
 8. The ticket must pass all other security criteria determined by the commission.
 9. The ticket must be validated in accordance with the provisions of these rules relating to the procedures for claiming prizes and for the payment thereof.
 10. An apparent winning ticket shall be void unless the ticket is printed on a paper stock roll which was in use at the time of the bet by, and validly issued to, the agent from whom the ticket was purchased.
- b. In the event that a ticket fails to pass all the criteria set forth in subpart (a) of this rule, the ticket shall be deemed void and ineligible for any prize and shall not be paid. In the event of a dispute or other instance of doubt, the decision of the director shall be final and binding. If the director determines that the ticket is not eligible to receive a prize, then the director may, at the director's option, replace an invalid, defective or otherwise erroneous ticket with a ticket of equivalent sales price from any current lottery game. Replacement of the ticket shall be the bearer or claimant's sole and exclusive remedy.

RULE NO. 10

CANCELLATION OF DRAWINGS

- a. The lottery has the right to cancel drawings and/or sales of tickets on holidays, days of special importance, or on days when conditions make conducting the game impractical or inappropriate. The lottery is authorized to conduct drawings and make-up drawings at times, dates, and pursuant to methods determined by the lottery.

RULE NO. 11

PRIZE PAYMENTS AND CERTIFICATION OF WINNERS

- a. All prizes of \$599 or less or amount required by individual party state may be paid directly by lottery sales agents. The claimant shall present his/her ticket to a lottery agent, fill out his/her name and address on the back of the ticket, and show identification as required by individual states. The agent, after verifying that the ticket is a winning ticket and examining it for alteration, shall pay the claimant directly or to his/her authorized representative.
- b. Prizes of \$600 or more or amount required by individual party state will be paid centrally by the party lottery office or at a location(s) authorized by the individual state's director. A match 4 plus 1 winning ticket holder(s) shall visit a Tri-State lottery agent or member state office and present the signed ticket with any required identification. The ticket holder(s) shall complete and sign a claim form, and mail or submit it with the ticket, to the applicable state lottery office. The agent or lottery office shall then attempt to validate the ticket. Upon validation, the ticket holder(s) shall receive a copy of the claim form acknowledging receipt of the claim. Upon receipt of the claim form and the ticket, the state lottery office shall activate a five (5) working day processing period. During the five-day processing period, the ticket holder(s) may add or substitute other persons as co-holders or trustees. This must be a written, notarized request from the original holder(s) to the state lottery office where the ticket was validated. After the five (5) day processing period has been completed, the state lottery office shall release or mail the prize check(s) to the ticket holder(s) and/or any co-holders or trustees designated in writing. The signers of the ticket shall determine whether the payment(s) are in cash or annuity but shall not select or be paid a combination thereof.
- c. Annuity Clause. All jackpot liabilities will be funded by means of twenty year annuities or treasury strips, with either twenty equal annual payments or two hundred forty equal monthly payments.
 1. The jackpot prize of \$1,000 a week for twenty years will be divided by the number of winning tickets sold if more than one jackpot prize winning ticket has been sold per drawing.
 2. The jackpot prize shall be paid, at the election of the player no later than 60 calendar days after the player becomes entitled to the prize, with either a per winner annuity or cash payment.
 3. Prize entitlement is defined as the day the winner files an initial claim with a party lottery at that lottery's headquarters.
 4. If the payment election is not made by the player within 60 calendar days after the player becomes entitled to the prize, then the prize shall be paid as an annuity prize paid in two-hundred-forty (240) equal monthly payments.
 5. The election to take the cash payment may be made at the time of the prize claim or within 60 calendar days after the player becomes entitled to the prize.
 6. The jackpot winner shall complete and sign a lottery-provided election form at the time of final election, and within 60 calendar days after the player becomes entitled to the prize, which shall designate the form of payment, either annuity or cash, elected by the jackpot winner.
 7. An election made after the winner becomes entitled to the prize is final and cannot be revoked, withdrawn or otherwise changed.

8. The minimum annuity payment over twenty years is \$30,000. In the event of multiple prize winners, should any twenty year prize fail to pay a minimum of \$30,000 per annum, the Tri-State Lotto Commission will pay the winner(s) a lump sum payment. The payment will be made from available cash in the jackpot prize pool. The payment will not be doubled to approximate the publicized annuity.
9. The first payment shall be made in cash and all payments, regardless of amount, shall be made less that portion payable to the Federal and State Governments for withholding tax purposes. Each party lottery is responsible for withholding of the federal taxes and any applicable state taxes.
- d. Cash Clause. If one or more of the top prize winners chose the "cash option", then the Top Prize Pool shall equal the cash available in the jackpot pool. If more than one top prize winner, the cash available in the jackpot pool will be shared equally. Rule 4(d) shall determine each share of the top prize.
- e. The following shall be supplied by the claimant on the claim form:
 1. Name
 2. Address
 3. Telephone number
 4. Social Security number
 5. Birth date
 6. Claimant signature and date signed
- f. Claims submitted to the commission shall be subject to validation.
- g. All prizes shall be claimed within 365 days after the drawing date in which the prize was won. Any prize not claimed within 365 days (See Rule 5 (e)) shall be forfeited.

RULE NO. 12

SALE BY PARTY STATES DIRECTLY

- a. Any party state may sell Tri-State Weekly Grand tickets at any selling point it establishes in its own state or on state-owned land in other states.

RULE NO. 13

TRI-STATE WEEKLY GRAND PRIZE DRAWINGS

- a. At least once each week, the commission shall hold a live drawing of the winning Tri-State Weekly Grand numbers in a location to be determined by the commission. Drawings shall be open to the public and be open to representatives of the various media.
- b. Open mechanical devices in full view of the public shall be employed to determine winning numbers.
- c. In the event that the mechanical drawing devices are not available, an emergency backup to draw the winning numbers will be instituted.

RULE NO. 14

SEVERABILITY OF PROVISIONS

- a. The provisions of any rules or regulations contained herein are severable. If any provision of a rule or regulation is invalid, or if any application thereof to any person or circumstance is invalid, the invalidity shall not affect other provisions or applications which can be given effect without the invalid provision or application.

RULE NO. 15

EXECUTIVE SESSION

- a. All meetings of the commission shall be open to the public. The commission may go into executive session only upon a unanimous vote of its members. The authority to enter into an executive session shall be governed by the statutes of the state hosting that particular meeting of the commission.

RULE NO. 16

LEGAL REPRESENTATION OF THE TRI-STATE LOTTO COMPACT

- a. The Tri-State Lotto Compact shall be represented by the Attorney General of the state in which any law suit is filed against the compact or commissioners.

RULE NO. 17

AGENTS

- a. All agents agree to abide by these rules and regulations as well as the published or established procedures for the game(s). Agents understand and agree that their license to sell tickets can be suspended or terminated by the party lottery if they violate these rules and regulations or the procedures for the game(s). Agents understand and agree that the party lottery has the right to establish minimum sales levels which must be met in order for the agents to maintain their license to sell tickets.

RULE NO. 18

PLAYERS

- a. Players understand and agree that by purchasing a ticket, they shall abide by state law and regulations of the lottery and, if applicable, the Tri-State Lotto Commission. Applicable regulations are available at party lottery headquarters.

RULE NO. 19

UNACCEPTED BET

- a. If for any reason the player's bet is not accepted, then the liability of the party lottery and its agent is limited to a refund of the amount wagered [subject to the provisions of Rule 2(f)].

RULE NO. 20

SALE OF TICKETS

- a. All ticket sales must be made through an on-line machine.

RULE 21

COLLECTION AND DISPOSITION OF REVENUE

- a. An agent is entitled to the same commission for Tri-State Weekly Grand ticket sales as is paid for their on-line Tri-State lottery ticket sales.